



## DECLARATION FOR NON-PROVISIONAL PATENT APPLICATION\*

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below at 201 et seq. beneath my name.

I believe I am the original, first and sole inventor if only one name is listed at 201 below, or an original, first and joint inventor if plural names are listed at 201 et seq. below, of the subject matter which is claimed and for which a patent is sought on the invention entitled

## APPLICATION OF SPATIAL LIGHT MODULATORS FOR NEW MODALITIES IN SPECTROMETRY AND IMAGING

and for which a patent application:

- ☒ is attached hereto and includes amendment(s) filed on (if applicable)
- ☐ was filed in the United States on as Application No. (for declaration not accompanying application) with amendment(s) filed on (if applicable)
- ☐ was filed as PCT international Application No. on and was amended under PCT Article 19 on (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified application, including the claims, as amended by any amendment referred to above

I acknowledge the duty to disclose information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

EARLIEST FOREIGN APPLICATION(S), IF ANY, FILED PRIOR TO THE FILING DATE OF THE APPLICATION				
APPLICATION NUMBER	COUNTRY	DATE OF FILING (day, month, year)	PRIORITY CLAIMED	
			YES <input type="checkbox"/>	NO <input type="checkbox"/>
			YES <input type="checkbox"/>	NO <input type="checkbox"/>

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below.

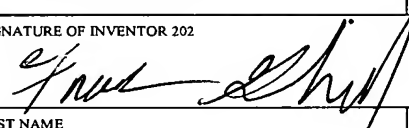

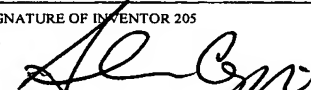
PROVISIONAL APPLICATION NUMBER	FILING DATE
60/442,686	January 24, 2003

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information known to me which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

NON-PROVISIONAL APPLICATION SERIAL NO.	FILING DATE	STATUS		
		PATENTED	PENDING	ABANDONED

\* for use only when the application is assigned to a company, partnership or other organization.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

2 0 1	FULL NAME OF INVENTOR	LAST NAME DeVerse	FIRST NAME Richard	MIDDLE NAME A.	
	RESIDENCE & CITIZENSHIP	CITY Kailua-Kona	STATE OR FOREIGN COUNTRY Hawaii	COUNTRY OF CITIZENSHIP The United States	
	POST OFFICE ADDRESS	STREET 74-5191 Kanai Place	CITY Kailua-Kona	STATE OR COUNTRY Hawaii	ZIP CODE 96740
		SIGNATURE OF INVENTOR 201		DATE	
2 0 2	FULL NAME OF INVENTOR	LAST NAME Geshwind	FIRST NAME Frank	MIDDLE NAME B.	
	RESIDENCE & CITIZENSHIP	CITY Madison	STATE OR FOREIGN COUNTRY Connecticut	COUNTRY OF CITIZENSHIP The United States	
	POST OFFICE ADDRESS	STREET 61 Wellsweep Drive	CITY Madison	STATE OR COUNTRY Connecticut	ZIP CODE 06443
		SIGNATURE OF INVENTOR 202 		DATE 6/21/04	
2 0 3	FULL NAME OF INVENTOR	LAST NAME Coifman	FIRST NAME Ronald	MIDDLE NAME R.	
	RESIDENCE & CITIZENSHIP	CITY North Haven	STATE OR FOREIGN COUNTRY Connecticut	COUNTRY OF CITIZENSHIP The United States	
	POST OFFICE ADDRESS	STREET 11 Hickory Hill Road	CITY North Haven	STATE OR COUNTRY Connecticut	ZIP CODE 06473
		SIGNATURE OF INVENTOR 203 		DATE 6/22/04	
2 0 4	FULL NAME OF INVENTOR	LAST NAME Fateley	FIRST NAME William	MIDDLE NAME G.	
	RESIDENCE & CITIZENSHIP	CITY Manhattan	STATE OR FOREIGN COUNTRY Kansas	COUNTRY OF CITIZENSHIP The United States	
	POST OFFICE ADDRESS	STREET 8459 River Valley Drive	CITY Manhattan	STATE OR COUNTRY Kansas	ZIP CODE 66502
		SIGNATURE OF INVENTOR 204		DATE	
2 0 5	FULL NAME OF INVENTOR	LAST NAME Coppi	FIRST NAME Andreas	MIDDLE NAME C.	
	RESIDENCE & CITIZENSHIP	CITY Groton	STATE OR FOREIGN COUNTRY Connecticut	COUNTRY OF CITIZENSHIP The United States	
	POST OFFICE ADDRESS	STREET 263 Bridge Street, Unit 29	CITY Groton	STATE OR COUNTRY Connecticut	ZIP CODE 06340
		SIGNATURE OF INVENTOR 205 		DATE 6/21/04	



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## APPLICATION OF SPATIAL LIGHT MODULATORS FOR NEW MODALITIES IN SPECTROMETRY AND IMAGING

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PROVISIONAL APPLICATION NUMBER	FILING DATE
60/442,686	January 24, 2003

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	POST OFFICE ADDRESS	STREET 8459 River Valley Drive	CITY Manhattan	STATE OR COUNTRY Kansas	ZIP CODE 66502
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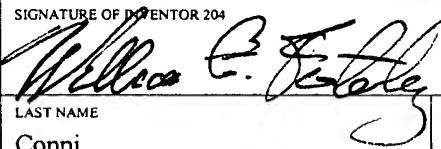
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	POST OFFICE ADDRESS	STREET 8459 River Valley Drive	CITY Manhattan	STATE OR COUNTRY Kansas	ZIP CODE 66502
	SIGNATURE OF INVENTOR 204 			DATE June 15 / 2004	
2 0 5	FULL NAME OF INVENTOR	LAST NAME Coppi	FIRST NAME Andreas	MIDDLE NAME C.	
	RESIDENCE & CITIZENSHIP	CITY Groton	STATE OR FOREIGN COUNTRY Connecticut	COUNTRY OF CITIZENSHIP The United States	
	POST OFFICE ADDRESS	STREET 263 Bridge Street, Unit 29	CITY Groton	STATE OR COUNTRY Connecticut	ZIP CODE 06340
	SIGNATURE OF INVENTOR 205			DATE	



POWER OF ATTORNEY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Richard A. DeVerse *et al.* Confirmation No.: 7869  
Serial No.: 10/764,113 Art Unit: 2878  
Filed: January 24, 2004 Examiner: To Be Assigned  
For: APPLICATION OF SPATIAL LIGHT MODULATORS FOR NEW MODALITIES IN SPECTROMETRY AND IMAGING Attorney Docket No.: 10488-011

**POWER OF ATTORNEY BY ASSIGNEE  
AND EXCLUSION OF INVENTOR(S) UNDER 37 C.F.R. 3.71  
WITH STATEMENT UNDER 37 C.F.R. 3.73(b)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The undersigned assignee of the entire interest in the above-identified subject application hereby appoints Practitioners at Customer Number 20583 all of Jones Day, whose address is 222 East 41st Street, New York, New York 10017, as its attorneys to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, said appointment to be to the exclusion of the inventors and their attorney(s) in accordance with the provisions of 37 C.F.R. 3.71.

Please direct all correspondence for this application to Customer no. 20583.

**Statement Under 37 C.F.R. 3.73(b)**

Plain Sight Systems, Inc. states that it is:

- ☒ the assignee of the entire right, title, and interest; or  
☐ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is %

in the patent application/patent identified above by virtue of either:

- ☒ An assignment from the inventor(s) of the patent application/patent identified above.  
The assignment was recorded in the United States Patent and Trademark Office on  
at Reel , Frame , or for which a copy thereof is attached.

OR

- ☐ A chain of title from the inventor(s), of the patent application/patent identified above,  
to the current assignee as shown below:

1. From: To:  
The document was recorded in the United States Patent and Trademark Office on  
at Reel , Frame , or for which a copy thereof is attached.

2. From: To:  
The document was recorded in the United States Patent and Trademark Office on  
at Reel , Frame , or for which a copy thereof is attached.

3. From: To:

The document was recorded in the United States Patent and Trademark Office on  
at Reel , Frame , or for which a copy thereof is attached.

- ☐ Additional documents in the chain of title are listed on a supplemental sheet.
- ☐ Copies of assignments or other documents in the chain of title are attached.  
[Note: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

**ASSIGNEE: PLAIN SIGHT SYSTEMS, INC.**

Date: 6/21/04 Signature: *RR Coifman*  
Typed Name: Ronald R. Coifman  
Position/Title: President



## ASSIGNMENT

WHEREAS, WE, RICHARD A. DEVERSE, FRANK B. GESHWIND, RONALD R. COIFMAN, WILLIAM G. FATELEY and ANDREAS C. COPPI, ASSIGNORS, citizens of the United States, residing at 74-5191 Kanai Place, KailuaKona, Hawaii 96740, 61 Wellsweep Drive, Madison, Connecticut 06443, 11 Hickory Hill Road, North Haven, Connecticut 06473, 8459 River Valley Drive, Manhattan, Kansas 66502 and 263 Bridge Street, Unit 29, Groton, Connecticut 06340, respectively, are the inventors of the invention in

## APPLICATION OF SPATIAL LIGHT MODULATORS FOR NEW MODALITIES IN SPECTROMETRY AND IMAGING

for which we have executed an application for a Patent of the United States

- ☐ which is executed on ☐ even date herewith or ☐  
☐ which is identified by Jones Day docket no. 10488-011-999  
☒ which was filed on January 24, 2004, Application No. 10/764,113  
☐ We hereby authorize and request our attorney, , of Jones Day, , to insert here in parentheses (Application number, \_\_\_\_\_ filed \_\_\_\_\_) the filing date and application number of said application when known.

and WHEREAS, Plain Sight Systems, Inc., ASSIGNEE, is desirous of obtaining our entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, our entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, We hereunto set our hands and seals the day and year set opposite our respective signatures.

Date 06/13/04, 2004

  
Richard A. DeVerse

L.S.

State of Hawaii )  
 County of Hawaii ) SS.:

Judy Kawamura

On June 15, 2004, before me, Richard A. DeVerse, Notary Public, personally appeared Richard A. DeVerse, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity(ies), and that by his/~~her/their~~ signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal



Judy Kawamura

My Commission Exp 10-10-07

LS

Date June 21, 2004

State of Connecticut,

County of New Haven, SS.:

Frank B. Geshwind

L.S.

On June 21, 2004, before me, Linda P. Grant Notary Public, personally appeared Frank B. Geshwind, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

[Signature]

My Commission Expires  
May 31, 2008

Date JUNE 22<sup>ND</sup>, 2004

State of Connecticut,

County of New Haven, SS. Hamden

Ronald R. Coifman

L.S.

On June 22<sup>ND</sup>, 2004, before me, MARSHA A. DWYER Notary Public, personally appeared Ronald R. Coifman, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

[Signature]

My Commission Expires  
April 30, 2007

Date \_\_\_\_\_, 2004

State of \_\_\_\_\_ )

) SS.:

County of \_\_\_\_\_ )

William G. Fateley

L.S.

On \_\_\_\_\_, 2004, before me, \_\_\_\_\_ Notary Public, personally appeared William G. Fateley, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

Date June 21, 2004

State of Connecticut,

County of New Haven, SS.:

Andreas C. Coppi

L.S.

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WITNESS my hand and official seal

[Signature]

My Commission Expires  
May 31, 2008

APPLICATION OF SPATIAL LIGHT MODULATORS FOR NEW MODALITIES IN SPECTROMETRY AND IMAGING

NYJD: 1525517.1

Frank B. Geshwind L.S.

State of )  
 ) SS.:  
County of )

On \_\_\_\_\_, 2004, before me, \_\_\_\_\_ Notary Public, personally appeared Frank B. Geshwind, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

Ronald R. Coifman L.S.

State of )  
 ) SS.:  
County of )

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WITNESS my hand and official seal

William G. Fateley L.S.

State of Kansas )  
County of Riley ) SS.:

On June 15, 2004, before me, Niane M. Lindell Notary Public, personally appeared William G. Fateley, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal,

Diare M. Landse



\_\_\_\_\_  
Andreas C. Coppi L.S.

State of )  
 ) SS.:  
County of )

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